iFAST

iFAST Financial India Pvt Ltd.

1, Ground Floor, Raheja Titanium Western Express Highway Goregaon East, Mumbai 400 063. Adviser Helpline No: +91 22 4219 9444. E-mail us: assist@ifastfinancial.com

Associate Registration Form

CONTACT DETAILS*													
Name of Applicant* (as on ARN Certificate)	Please Af Recent Pas Size Photo:	sport											
Date of Birth / Date of Incorporate	ion* D D M M Y Y Y Y (Only for India												
PAN*													
Correspondence Address * (For all 0	Communication)												
City	State												
Pin Code Emai	IID												
Contact Number* STD Code	Residence No.												
Office No.	Mobile No.												
Fax No.	Alternate no (if any)												
Permanent Address/ Registered Office Address													
City	State												
Pin Code Emai	IID												
Contact Number* STD Code	Residence No.												
Office No.	Mobile No.												
Fax No.	Alternate no (if any)												
AMFI / SEBI REGISTRATION DETAILS*													
ARN	Issue Date D D M M Y Y Y Y Expiry Date D D M M Y Y	YY											
EUIN	Issue Date D D M M Y Y Y Y Expiry Date D D M M Y Y	YY											
IA Reg. No.	Issue Date D D M M Y Y Expiry Date D D M M	YY											
Type of Organisation * (please mark √ in the box)	Individual Sole Proprietor Partnership Firm Pvt. Ltd. Company Public Ltd. Company HUF Society Trust Others(sp	ecify)											
(please mark √ in the box) ==	Banking Insurance Advisory Mutual Fund Advisory Small Savings Stock Broking Others(sp	ecify)											
CONTACT PERSON DETAILS*													
Contact Person (Sales) Mr. Ms.													
Email ID													
Contact Person (Operations) Mr.	Ms.												
Email ID													

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DECLARATION

I/ We hereby give my /our consent to work as an Associate for financial products (including mutual funds) mobilization with iFAST Financial India Private Limited ('iFAST") on the terms and conditions as mentioned in the Associate agreement of even date which are acceptable to me/us. I/We hereby declare that the particulars furnished above by me/us are true and correct and that no material information has been concealed by me/us. I/We undertake to inform in writing of any change in the particulars furnished above. In case if any of the above information is found to be false or incorrect, I/We shall be solely liable for it. I/We hereby declare and undertake to abide by and strictly adhere to the terms and conditions contained herein including Associate Agreement and the changes as made by iFAST from time to time. I/We hereby declare that I/we am/are AMFI/NISM/SEBI certified. I/We hereby undertake that I/we shall not distribute financial products including mutual funds in case of expiry of AMFI/ SEBI registration till the renewal of the same. I/We hereby declare and undertake to abide by and strictly adhere to the AMFI code of conduct for the mutual funds intermediaries and any other provisions / guidelines pertaining to Investment Advisers that may be enacted from time to time by appropriate authorities.

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For Office Use only

Prepared By	Authorised By								
Signature:	Signature:								
Name & Designation:	Name & Designation:								

*Mandatory fields

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Annexure II: List of Mandatory Documents										
Document	Individual	Sole Proprietor	HUF	Partnership Firm	Private Limited Company	Public Limited Company	Society	Trust		
SIDD Decl (as per format) for IA's	√	√	√	√	√	√	√	√		
ARN Card/AMFI certificate / KYD declaration / EUIN card	√	√	√	√	√	√	√	√		
SEBI Registration certificate (For Investment Advisors)	√	√	√	√	√	√				
Memorandum of Association and Articles of Association					√	√				
Partnership Deed				√						
Trust Deed								√		
Deed of Declaration			√							
Latest Pass Book			√							
Latest bank account statement			√							
Board Resolution resolving that the company and the list of directors are authorised to distribute MF products					√	√				
List of Authorised Signatories with specimen signatures				√	√	√	√	√		
Signed Empanelment Form	√	√	√	√	√	√	√	√		
PAN card copy	√	√	√	√	√	√	√	√		
Original cancelled cheque	√	√	√	√	√	√	√	√		
*Address proof	√	√	√	√	√	√	√	√		
Bye laws							√			
Certificate of incorporation/registration				√	~	√				

*In case of Individual Address Proof (any one of the following)
Passport, Voters' ID, Driving License, Electricity Bill (not more than 3 months prior to date of application)., Telephone Bill (not more than 3 months prior to date of application).
Last Bank Passbook/Bank Account Statement (not more than 3 months prior to date of application), Registered rent/ sale agreement

Please attach mandatory document as per Annexure I AND II.

Terms And Conditions Governing Appointment Of iFAST Associate

- 1. These terms and conditions ("Terms & Conditions") shall govern the appointment of iFAST Associates for performance of obligations under the Associate Agreement of even date executed between iFAST Financial India Private Limited ("iFAST") and iFAST Associate (the "Associate Agreement"), which contain the operational modalities for online transaction and other terms and conditions. In the event of a conflict between these Terms & Conditions and the Associate Agreement, the terms of the Associate Agreement shall prevail.
- 2. The arrangement between iFAST Financial India Private Limited ("iFAST") and the iFAST Associate ("IFA") shall be exclusively on 'principal to principal' basis. In no event, shall the relationship between iFAST and the IFA be construed as that of principal and agent or that of employer and employee as there is no such intention to create such kind of relationship.
- 3. The IFA shall not, at any time, represent to outsiders as the agent or employee of iFAST. He/She/It shall promptly pass on the cheques received by him/her/it from the clients/investors to iFAST alongwith the relevant application. At no point of time, the IFA shall accept any cash or cash equivalent from any of his/her/its clients.
- 4. The IFA hereby agrees that he/she/it shall strictly comply with all laws, rules and regulations where the IFA conducts his/her/its business relating to the performance of or obligation in furtherance to this Associate Empanelment Form and the Associate Agreement.
- 5. IFA not having AMFI Certification or such other regulatory certification as per applicable laws from time to time, are not authorized to deal in various financial products including mutual funds as per these Terms & Conditions read with the Associate Agreement. This shall apply to all employees and / or sub-brokers, agents, sub-distributors of IFA by whatever name called. Accordingly, iFAST reserves the right to withhold any amounts due to IFA during such period as such certification is invalid.
- 6. The empanelment and payment of commissions, brokerage/sub-brokerage, fees etc. shall be in accordance with the Associate Empanelment Form, Associate Agreement read with parameters and guidelines specified by SEBI, any other applicable laws or regulatory provisions ("Statutory Guidelines") and those issued by AMFI from time to time as may be applicable.
- 7. IFA will be eligible for brokerage on the business procured at the rate/rates prevailing and as may be decided by iFAST and IFA from time to time.
- 8. IFA shall not hold iFAST responsible for any loss incurred as not anticipated and arising out of any revision in the rate of commission or change of brokerage by a Product Provider. In cases where any Product Provider initiates recovery of brokerage in relation to any business procured by the IFA, such brokerage already paid to the IFA would be recovered from him/her/it proportionately and for all such business where brokerage is yet to be paid the same shall be netted off.
- 9. iFAST shall deposit the brokerage / fees as accrued to the IFA in accordance with these Terms & Conditions and the Associate Agreement. The mode of payment of brokerage along with any other fees will be through direct credit in IFAs bank account, the detail of which is furnished herein by the IFA.
- 10. Taxes, if any, at the applicable rates will be deducted from payments to be made to the IFA as per prevailing laws.
- 11. iFAST shall monitor the compliance of the Statutory Guidelines, and the Code of Conduct prescribed by SEBI / AMFI for IFAs in respect of business done across all financial products including mutual funds. In case of non-compliance of statutory guidelines by IFA, iFAST may suspend further business and pay out of commissions etc. until full compliance.
- 12. IFA shall indemnify iFAST in respect of all costs, expenses, losses and damages that iFAST may incur (i) due to the negligence, willful default or fraud or any other act or omission of such IFA including sub-broker under an IFA, (ii) against every and all claims, demands, damages, liabilities, proceedings, losses, charges and expenses made, suffered, caused or incurred, whether directly or indirectly to iFAST by reason of commission of any wrongful act or omission on the part of the IFA, and/or (iii) on account of provision of services in the period when its SEBI/ AMFI certification has expired. The provision of this Clause shall survive the termination or expiry of the Associate Agreement.
- 13. As a condition of empanelment, the IFA agrees that iFAST shall have exclusive ownership of, and any and all rights, title and interest in all copyrights, trademarks, service mark rights, patents or processes associated with any work, mark, invention or process ("Intellectual Property") produced during the course of the empanelment, which was originated or developed in connection with the empanelment reduced to practice following the termination of such empanelment if the invention or process existed in an identifiable from prior to such termination.
- 14. As a further condition of empanelment, the IFA agrees to execute any and all documents necessary to protect and preserve the Intellectual Property of iFAST, even if no longer engaged. The IFA further agrees at iFAST's request to confirm such rights in writing.
- 15. A login Id will be allotted to an IFA only after due compliance with registration. Incomplete registration documents or inappropriate information are liable to be rejected. All logins made through such allotted login identity shall be deemed to be made by IFA and IFA shall be solely liable/responsible for any misuse or fraud of such login Id.
- 16. It is the responsibility of the IFA to affix his/her/its code number on all applications procured by him/her/it. In the absence of the code number, iFAST shall not be liable to pay the brokerage / fees.
- 17. IFA shall not guarantee any returns to any existing or potential customer. IFA shall not make any misrepresentation or false or misleading advertisement in any manner and/or of any nature to the existing and/or potential customers.
- 18. iFAST reserves the right to alter any of the terms and conditions governing appointment of IFA and to terminate the Associate Agreement without assigning any reason, whether arising as a result of this Associate Empanelment Form and the Associate Agreement if the business procured is not as agreed between the parties, in accordance with the procedure in the Associate Agreement.
- 19. The relationship between iFAST and IFA shall expire in accordance with the Max One Associate Agreement, either by efflux of time or on happening of any of the following events (i) if the IFA commits breach of any of the terms mentioned herein; (ii) If iFAST goes into voluntary liquidation; (ii) If the IFA is guilty of any conduct, commission or omission which iFAST believes is prejudicial to the interest of the Company or the Investor; (iii) If any event happens which makes the performance of the Associate Agreement impossible by reason of any force majeure or otherwise
- 20. IFA shall be responsible for all his/her/its acts, deeds or omissions prior to the termination of IFA in accordance with the Associate Agreement. The termination of association with an IFA will not absolve him/her/it from all the acts, deeds or omissions done by him/her/it during the currency of appointment as an IFA.
- 21. In case of termination of the Associate Agreement, IFA shall be entitled to receive all fees/commission/trail fees as payable to IFA in respect of business procured by such IFA prior to the termination of the Agreement provided this is permissible under applicable laws and regulations.

 22. These Terms and Conditions shall be governed under the laws of India, and the courts of Mumbai alone shall have exclusive jurisdiction in respect thereof.

Place:	
Date:	Signature / Company stamp and sign: